

- What resources (materials, methods, techniques) are available to intervene and under what standards are they to be applied?
- Which is the best method of intervention in a specific structure?
- Which is the design framework to assess the seismic capacity of an existing structure and document choices for retrofitting or strengthening?
- What are the quality control procedures for intervention works?

• The configuration of the structural system of an existing structure may not be permitted. However it exists

- High uncertainty in the basic data of the initial phase of documentation. Hidden errors or faults
- Use of new materials which are still under investigation!
- Low (or negative) qualifications or experience of workmanship

Why we need a new design framework in addition to the existing one for new structures?

Existing Structures

- (a) Reflect the state of knowledge at the time of their construction
- (b) May contain hidden gross errors
- (c) May have been stressed in previous earthquakes (or other accidental actions) with unknown effects
- Structural assessment and redesign of an existing structure due to a structural intervention are subjected to a different degree of uncertainty than the design of a new structure Different material and structural safety factors are required

Different analysis procedures may be necessary depending on the

completeness and reliability of available data

Usually, analytical procedures (or software) used for the design of new structures are not suitable to assess existing structures. New structures designed according to new codes necessarily fulfil specific code requirements for being analysed acceptably with conventional analytical procedures, e.g. linear elastic analysis ⁵

THREE MAIN OBJECTIVES

• Assess the seismic capacity of an existing structure

- Decide the necessary intervention work
- Design the intervention work

ASSESSMENT PROCEDURE

<u>1st stage</u> Document the existing structure

<u>2nd stage</u> Assessment of the (seismic) capacity of the structure

<u>**3**rd</u> stage Decide if structural intervention required

4th stage

Design the structural intervention

5th stage

Construct the intervention work

PERFORMANCE REQUIREMENTS

Acceptable **Performance** Levels or **Level of Protection** (e.g. **State of Damage**) of the Structure

Level A: Immediately Occupancy (IO) or Damage Limitation (DL)

Very light damage

Structural elements retain their strength and stiffness No permanent drifts No significant cracking of infill walls Damage could be economically repaired

Level B: Life Safety (LS) or Significant Damage (SD)

- Significant damage to the structural system however retention of some lateral strength and stiffness
- Vertical elements capable of sustaining vertical loads
- Infill walls severally damaged

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Moderate permanent drifts exist

The structure can sustain moderate aftershocks

The cost of repair may be high. The cost of reconstruction should be examined as an alternative solution

Level C: Collapse Prevention (CP) or Near Collapse (NP)

- Structure heavily damaged with low lateral strength and stiffness
- Vertical elements capable of sustaining vertical loads
- Most non-structural components have collapsed
- Large permanent drifts
- Structure is near collapse and possibly cannot survive a moderate aftershock
- Uneconomical to repair. Reconstruction the most probable solution

SEISMIC ACTIONS

What is the design seismic action? Which return period should be selected for the seismic action? Should this be the same as for new structures?

Design Levels

Occurrence probability in 50 years	Collapse prevention (CP)	Life safety (LS)	Immediately occupancy (IO)
2% Return period 2475 years	CP _{2%}	LS _{2%}	DL _{2%}
10% Return period 475 years	CP _{10%}	LS _{10%}	DL _{10%}
20% Return period 225 years	CP _{20%}	LS _{20%}	DL _{20%}
50% Return period 70 years	CP _{50%}	LS _{50%}	DL _{50%}

Usual design of new buildings

Design of important structures (remain functional during earthquake)

Minimum acceptable seismic action level Instead, do nothing due to economic, cultural, aesthetic¹¹ and functional reasons



DOCUMENTATION

Light Significant Heavily

damage

10

Knowledge Levels and Confidence Factors

(Top displacement)

δ

KL1: Limited Knowledge

KL₂: Normal Knowledge

KL₃: Full Knowledge

 $\overline{\mathbf{\delta}}_1 \, \overline{\mathbf{\delta}}_2 \, \overline{\mathbf{\delta}}_3$

V

Knowledge Level	Geometry	Details	Materials	Analysis	CF
KL1		Simulated design in accordance with relevant practice and from limited <i>in-situ</i> inspection	Default values in accordance with standards of the time of construction and from limited in-situ testing	LF-MRS	CF _{KI} = 1.3
KL2	From original outline construction drawings with sample visual survey or from full survey	From incomplete original detailed construction drawings with limited in-situ inspection or from extended in-situ inspection	From original design specifications with limited <i>in-situ</i> testing or from extended <i>in-situ</i> testing	All	CF _# = 1.2
KL3		From original detailed construction drawings with limited <i>in-situ</i> inspection or from comprehensive <i>in-situ</i> inspection	From original test reports with limited <i>in-situ</i> testing or from comprehensive <i>in-situ</i> testing	All	CF _K = 1.0



REINFORCED CONCRTETE STRUCTURES

Element's Capacity Curve



Elements's Capacity



ELEMENT'S SHEAR CAPACITY





METHODS OF ANALYSIS

- Lateral force analysis (linear)
- Modal response spectrum analysis (linear)
- Non-linear static (pushover) analysis
- Non-linear time history dynamic analysis
- q-factor approach

q=3.0 - 3.5 for new design

 $\delta_1 \delta_2 \delta_3$

Proposed q factor values for existing structures

 $(R_d = q, R_{R_{el}})$

Applied codes of design (and construction)	Beneficial participation of infill walls (throughout the building)	Negative participation or absence of infill walls
1995 < New seismic code	3.00	2.30
1985 << 1995 Revised seismic code	2.30	1.80
<1985 Old seismic code	1.80	1.30





δ

20















Temporary support and stiffening of the damaged soft floor



Concrete jacketing in practice



Reinforced concrete jackets



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Total jacket



Roughening and the use of dowels



17

Bar buckling due to stirrup end opening



Jacket bar fracture



Welding of jacket's stirrup ends

23



Column repair



Construction of a steel cage around a column

 Steel cage

24







FRP strengthening









JOINT STRENTHENING



Addition of steel plates

JOINT STRENTHENING



<section-header>

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Addition of FRP CEA, Sacley



University of Patras, Structural Lab



University of Patras, Structural Lab

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University of Patras, Structural Lab



University of Patras, Structural Lab





University of Patras, Structural Lab Damage to the specimen with poured concrete, smooth 39 interface without dowels

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STRUCTURAL DESIGN OF INTERVENTIONS Seismic Assessment and Retrofitting Concrete FRF Greek Retrofitting Code (GRECO) Ch. 8 Steel 8.1 General requirements of Existing Buildings Interface verification 8.2 Interventions for critical regions of linear structural elements Interventions with a capacity objective against flexure with axial force Under the Eurocodes Framework Interventions with the objective of increasing the shear capacity Interventions with the objective of increasing local ductility Interventions with the objective of increasing the stiffness 8.3 Interventions for joints of frames Inadequacy due to diagonal compression in the joint Inadequacy of joint reinforcement Structural Design of Interventions 8.4 Interventions for shear walls Interventions with a capacity objective against flexure with axial force Interventions with the objective of increasing the shear capacity Interventions with the objective of increasing the ductility Interventions with the objective of increasing the stiffness Prof. Stephanos E. Dritsos 8.5 Frame encasement Department of Civil Engineering, University of Patras Addition of simple "infill" Converting frames to to shear walls Strengthening of existing masonry infill Addition of bracing, conversion of frames to vertical trusses 8.6 Construction of new lateral shear walls Stirrups Foundations for new shear walls 2 Diaphragms VIENNA - BOKU, October 2012 8.7 Interventions for foundation elements

EXPERIMENTAL WORK (UNIVERSITY OF PATRAS)







Damage to a specimen with shotcrete and dowels ⁴



Damage to a specimen with poured concrete, smooth interface without dowels ⁵



Addition of a new concrete layer to the top of a cantilever slab

Beam strengthened with a new concrete layer



Interface failure due to inadequate anchorage of the new bars at the supports

7

CONTROL OF A SUFFICIENT CONNECTION BETWEEN CONTACT SURFACES



Interface Shear Force \leq Interface Shear Resistance

INTERFACE SHEAR FORCES: $V_{sd}^{interface}$



(a) strengthening in the tensile zone (b) strengthening in the compressive zone

Technological guidelines for repairs and strengthening: ΙΝΣΤΙΤΟΥΤΟ ΟΙΚΟΝΟΜΙΑΣ ΚΑΤΑΣΚΕΥΩΝ

ΠΡΟΣΩΡΙΝΕΣ ΕΘΝΙΚΕΣ ΤΕΧΝΙΚΕΣ ΠΡΟΔΙΑΓΡΑΦΕΣ (ΠΕΤΕΠ)

Εργασίες Αποκατάστασης Ζημιών Κατασκευών από τον Σεισμό και λοιπούς Βλαπτικούς Παράγοντες

> Τεχνικό Επιμελητήριο Ελλάδας Αθήνα 2008



Roughening by sandblasting



Use of a scabbler to improve frictional resistance by removing the exterior weak skin of the concrete to expose the aggregate



Inserting intermediate stirrups in square sections





Bar buckling due to stirrup ends opening ¹⁸



Welding of jacket's stirrup ends

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INTERFACE SHEAR RESISTANCE: $V_{Rd}^{interface}$

<u>Mechanisms</u>

- Friction and Adhesion
- Dowel Action
- Clamping Action
- Welded Connectors

UNREINFORCED INTERFACES



REINFORCED INTERFACES

Additional Friction

When a Steel Bar Crosses an Interface, a Clamping Action May Occur if:

- Surface of Existing Concrete has been Roughened
- The Steel Bar is Adequately Anchored



Reinforced Interfaces

Frictional resistance



Reinforced Interfaces



Dowel action







MONOLITHIC BEHAVIOUR FACTORS

 $k_{k} = \frac{\text{the stiffness of the strengthened element}}{\text{the stiffness of the monolithic element}}$ For the Resistance: $k_{r} = \frac{\text{the strength of the strengthened element}}{\text{the strength of the monolithic element}}$ For the Displacement: $k_{\delta y} = \frac{\text{the displacement at yield of the strengthened element}}{\text{the displacement at yield of the monolithic element}}$ $k_{\delta y} = \frac{\text{the ultimate displacement of the strengthened element}}{\text{the ultimate displacement of the monolithic element}}$ $\left(\text{EI}\right)_{\text{strengthened}} = k_{k} \text{ (EI})_{M}$ $R_{\text{strengthened}} = k_{r} R_{M}$

 $\delta_{i,\text{strengthened}} = \mathbf{k}_{\delta i} \delta_{i,M}$

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For the Stiffness:

Beam strengthened with a new concrete layer



Interface failure due to inadequate anchorage of the new bars at the supports



Addition of a new concrete layer to the top of a cantilever slab

33

Addition of new concrete layers

Capacity assessment

- Considering slip at the interface
- Approximations using monolithic behaviour factors

For slabs:



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Reinforced concrete jackets



Reinforced Interfaces



Bent down bars welded to the old and new reinforcement 37





<image>

Flexural strengthening



Debonding of glued FRP laminates



Spalling of the concrete cover at the edge of the FRP laminate



Experimental results for strengthened beams





As an example, let us consider a beam of C16/20 concrete strengthened on the tensile side with a carbon FRP of thickness $t_j = 1 \text{ mm}$ and width $b_j = 1/2b_w$.

$$f_{ctm} \cong 0.3 \ f_{ck}^{2/3} = 0.316^{2/3} = 1.92 \ \text{MPa} \quad \text{an}$$

$$\sigma_{j,crit} = 1.15 \sqrt{\frac{200 \ x \ 1.92 \ x \ 10^3}{2}} = 504 \ \text{MPa}$$

• This technique is useful when a new opening is cut in a slab or a wall

 $t_i \uparrow \Rightarrow \sigma_{i,crit} \downarrow$

45



Check for Spalling









(b)

 $V_{sd} \leq \frac{1}{V_{Rd,r}} (V_{Rd,r} + V_{RM})$

Illustrative ways of strengthening against shear failure: (a), (b) Closed strengthening, (c) Open strengthening

(c)



- The stress in the fibres depends on the width of the crack where the fibres bridge the crack
- There is no stress redistribution
- Fibres in position (a) would fail before fibres in position (b) have any significant stress
- Mean value of fibre strength ≈ ½ ultimate strength of the fibres → k_v = 0,5

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(a), (b) "closed" strengthening, (c), (d),(e),(f) "open" strengthening with anchorage

& (g) "open" strengthening exceptionally accepted under specific conditions 50

Illustrative ways of strengthening against shear failure :

(h)









Requirement to round column's corners to minimise stress concentrations







Procedure to determine required confinement when an increase in ductility is desired for a specific q factor

- Calculate the required behaviour index q_µ = q/q_o (q_o is the overstrength value according to EC8)
 Calculate the required ductility index in terms of displacements:
- $\mu_{d} = \begin{cases} q_{p} & \text{when } T > T_{2} \\ 1 + T_{2}/T(q_{p}-1) & \text{when } T < T_{2} \\ 1 + T_{2}/T(q_{p}-1) & \text{when } T < T_{2} \end{cases}$
- Calculate the required $\mu_{1/r}$ value as follows: $(\mu_d$ 1)/($\mu_{1/r}$ 1) = 3
- Calculate the required maximum concrete compressive strain:

 $\boldsymbol{\varepsilon}_{cu}^{*} = 2, 2 \cdot \boldsymbol{\mu}_{1/r} \cdot \boldsymbol{\varepsilon}_{sy} \cdot \mathbf{v}$ • The confined volumetric mechanical ratio $\boldsymbol{\omega}_{w}$ is determined as follows: Steel reinforcement confinement: $\boldsymbol{\varepsilon}_{cu}^{*} = 0,0035 + 0,1 \cdot \boldsymbol{\alpha} \cdot \boldsymbol{\omega}_{w}$ Carbon FRP confinement: $\boldsymbol{\varepsilon}_{cu}^{*} = 0,0035(\boldsymbol{f}_{c}^{*}:\boldsymbol{f}_{c})^{2}$ Glass FRP confinement: $\boldsymbol{\varepsilon}_{cu}^{*} = 0,007(\boldsymbol{f}_{c}^{*}:\boldsymbol{f}_{c})^{2}$ with $\boldsymbol{f}_{c}^{*} = (1,125+1,25 \cdot \boldsymbol{a} \cdot \boldsymbol{\omega}_{w})\boldsymbol{f}_{c}$ 57

STRENGTHENING OF BEAM-COLUMN JOINT

By the addition of diagonal steel sections



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STRENGTHENING OF BEAM-COLUMN JOINT

By epoxy injection



CEA, Sacley

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STRENGTHENING OF BEAM-COLUMN JOINT By the addition of steel plates





Either by the the addition of columns at the ends of the si or by one sided strengthening with an additional layer and end columns



Full jacket strengthening (recommended)



- Addition of walls from: a) Unreinforced or reinforced concrete (cast in situ or prefabricated)
 - b) Unreinforced or reinforced masonry
- No specific requirement to connect infill to the existing frame
- Modelling of infills by diagonal strut
- Low ductility of infill. Recommended $\mu \le 1,5$

WARNING

Additional shear forces are induced in the columns and beams of the frame

Frame Encasement

Reinforced walls are constructed from one column to another enclosing the frame (including the beam) with jackets placed around the columns. Note, all new construction must be suitably connected to the existing foundation



Strengthening of existing masonry infills

 Reinforced shotcrete concrete layers applied to both sides of the wall Minimum concrete thickness 50 mm Minimum reinforcement ratio p_{vertical} = p_{horizontal} = 0,005

Essential to positively connect both sides by bolting through the wall

No need to connect to existing frame as it is an infill

All new construction must be suitably connected to the existing foundation

0 0









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Addition of new external walls

Addition of a bracing system

STRENGTHENING OF FOUNDATIONS

- When insufficient bearing surface in contact with the soil
- When insufficient foundation height
- Increase the dimensions of the foundation usually in combination with other possible strengthening procedures Cannot strengthen structures without strengthening the foundation



Indicative strengthening by adding a jacket to the foundation and associated column

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Seismic Assessment and Retrofitting of Existing Buildings

Under the Eurocodes Framework

Earthquake Damages



VIENNA - BOKU, October 2012



http://www.boston.com/bigpicture/2010/01/earthquake_in_haiti.html

Haiti

A view of a damaged neighbourhood in the Canape-Vert, Port-au-Prince, Haiti area on January 13, 2010, the day after the earthquake



http:www.boston.com/bigpicture/2009/04/the_laquilaa_earthquake.html11

3

L' Aquila



1981 Alkyonides earthquakes 6.7R and 6.4R



http:www.boston.com/bigpicture/2009/04/the_laquilaa_earthquake.html11

L' Aquila

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L' Aquila



http://www.boston.com/bigpicture/2010/01/earthquake_in_haiti.html

Haiti



Crisscross cracking



http://www.cbsnews.com/2300-202_162-10002626-32.html?tag=page Chile

Full collapse of the ground floor



1999, Parnitha (Athens) 5.9R

Full collapse of the ground floor

10



1999, Parnitha (Athens) 5.9R Full collapse of the ground floor

11

9



Diagonal cracks in masonry walls of the building's second level 12



G. Manfredi, M. Dolce (eds), The state of Earthquake Engineering Research in Italy: the ReLUIS-DPC 2005-2008 Project, 469-480, © 2009 Doppiavoce, Napoli, Italy

L' Aquila

Examples of damage to masonry infills in RC multi-storey buildings

13



1995 Aigio 6.1R



1995 Aigio 6.1R Note the holes opened in slab for emergency team access



1995 Aigio 6.1R



Pancake collapse, the most fatalities

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Haiti

People look at what remains of a six storey communications building on January 13, 2010 in Port-au-Prince, Haiti¹⁸



1999, Parnitha (Athens) 5.9R Pancake collapse, the most fatalities



1981 Alkyonides earthquakes 6.7R and 6.4R Partial collapse due to failure of columns



Inadequate column bar lap spice lengths



Detail of inadequate column bar lap spice lengths 22



Two identical buildings, one collapsed, one minor damage



1986 Kalamata 6.2R Two identical buildings, one the ground floor collapsed, ₂₄ the other no damage



Soft Story - Strong Beams Weak Columns - Joint Failure



Soft storey



http://www.reluis.it/doc/pdf/Rapport_fotografico_V1.2.pdf

L' Aquila

Soft storey mechanism in a three storey RC building The first storey is characterized by openings (entrance and garages) unlike the other storeys. ²⁷ The displacement demand was concentrated at the ground floor level.



Soft storey effect



Soft storey effect

Note no damage to the 100 year old building in background due to the building's natural frequency being out of phase with the frequency at the maximum density of seismic acceleration

29

31



Connection failure



Connection failure



Bad quality concrete



Absence of stirrups



Absence of stirrups in critical zone and lap splice region 34



1995 Aigio 6.1R Column shear failure





1986 Kalamata Earthquake, 6.2R and 5.6R Note the inadequate diameter and spacing of stirrups Column shear failure



Inadequate stirrups

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High compression and inadequate stirrups Plastic Hinge at Column End

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High compression and inadequate stirrups Plastic hinge at column end



First level damage Lack of concrete cover causing spalling in column corner ⁴⁰



Opening of stirrups due to inadequate hooks at ends



Stirrups with inadequate hook angles





Hooks should be sequenced in alternative corners

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1995 Aigio 6.1R High vulnerability of short columns



Reinforcement congestion

45

1986 Kalamata 6.2R

1999, Parnitha (Athens) 5.9R

Short column effect 47 Masonry infills reduce the effective column height and create a short column effect





Special bidiagonal reinforcement required in short columns



External joint damage Absence of stirrups in joint results in buckling of column longitudinal bars. The concrete cover⁵⁰ spalling is due to the anchorage of longitudinal bars in horizontal beam.



Lack of stirrups in critical joint region



Lack of stirrups in critical joint region 52



Lack of stirrups in critical joint region

53



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Lack of stirrups in critical joint region



Incorrect reinforcement detailing in stairs



Correct reinforcement detailing in stairs



Construction joint required to separate wall and balcony



Warning: Supervision does not end with last concrete pour Damage by electrician as no specific path for cables 58



Water drainage pipe in column Not allowed by codes



1999 Kocaeli (Turkey) 7.4 R. Buckling of railway tracks crossing the fault line



1999 Kocaeli (Turkey) 7.4 R. Building tilted due to soil liquefaction (Erdik, 2000)



1999 Kocaeli (Turkey) 7.4 R.

Due to liquefaction, the buildings sank into the ground and the displaced soil heaved (Erdik, 2000)



1999 Kocaeli (Turkey) 7.4 R.

Displacements in excess of the bearing width leading to the collapse of the bridge (Erdik, 2000)



If you are on the limit, strong shutters may save your life!!!



Fatalities can also occur without damage to the building Mind to get under the table when you feel shaking from an earthquake

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Percentage of buildings regarding their type of use



5

Year of construction of RC damaged buildings



Definition of usability characterization

GREEN	The original seismic capacity of the building has not been decreased. The building is immediately usable and entry is permitted without restriction.
YELLOW	The seismic capacity of the building has deteriorated and repair measures should be taken. However, the vertical load carrying system of the building does not appear to have suffered. Thus the building is not expected to collapse suddenly. Usage is temporarily permitted under special restrictions.
RED	The building is unsafe and entry is prohibited. Lateral and vertical load carrying capacities of the building have both been substantially reduced. The building may be subject to sudden collapse and must be considered as dangerous. Decision for demolition or repair/strengthening will be made on the basis of a more thorough examination.

Usability classification of RC damaged buildings with respect to their type of use





Usability characterization of buildings with stiffness

Usability classification related to the year of construction



Definition of damage level

 B1 Level of damage in structures with hairline cracks in structural elements B2 Level of damage in structures with severe cracking in structural elements and/or slight buckling of reinforcement B3 Level of damage in structures with buckling of reinforcement and permanent displacement of structural elements B4 Level of damage in structures where structural elements 	Во	Level of damage in structures without damage
 B2 Level of damage in structures with severe cracking in structural elements and/or slight buckling of reinforcement B3 Level of damage in structures with buckling of reinforcement and permanent displacement of structural elements B4 Level of damage in structures where structural elements 	B1	Level of damage in structures with hairline cracks in structural elements
 B3 Level of damage in structures with buckling of reinforcemen and permanent displacement of structural elements B4 Level of damage in structures where structural elements 	B2	Level of damage in structures with severe cracking in structural elements and/or slight buckling of reinforcement
B4 Level of damage in structures where structural elements	B3	Level of damage in structures with buckling of reinforcement and permanent displacement of structural elements
	B4	Level of damage in structures where structural elements have collapsed

Distribution of damage related to the ground floor type



Influence of "short" columns on the level of damage









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